

## ➤ EBAii Assemblage & Annotation 2024

Construction and analysis of a prokaryotic genomic dataset

V. Loux, H. Chiapello, G. Gautreau



# Construction and analysis of prokaryotic genomic dataset

## Outline

- > Constructing a genome dataset from public ressources
- > Analyzing the genome dataset : intrinsic metrics & distances
- > Comparing and dereplicating the dataset

Many slides from the “**Bioinformatique par la pratique**” migale training cycle “Comparison of microbial genomes” module

<https://migale.inrae.fr/trainings>

<https://documents.migale.inrae.fr/#training-materials>



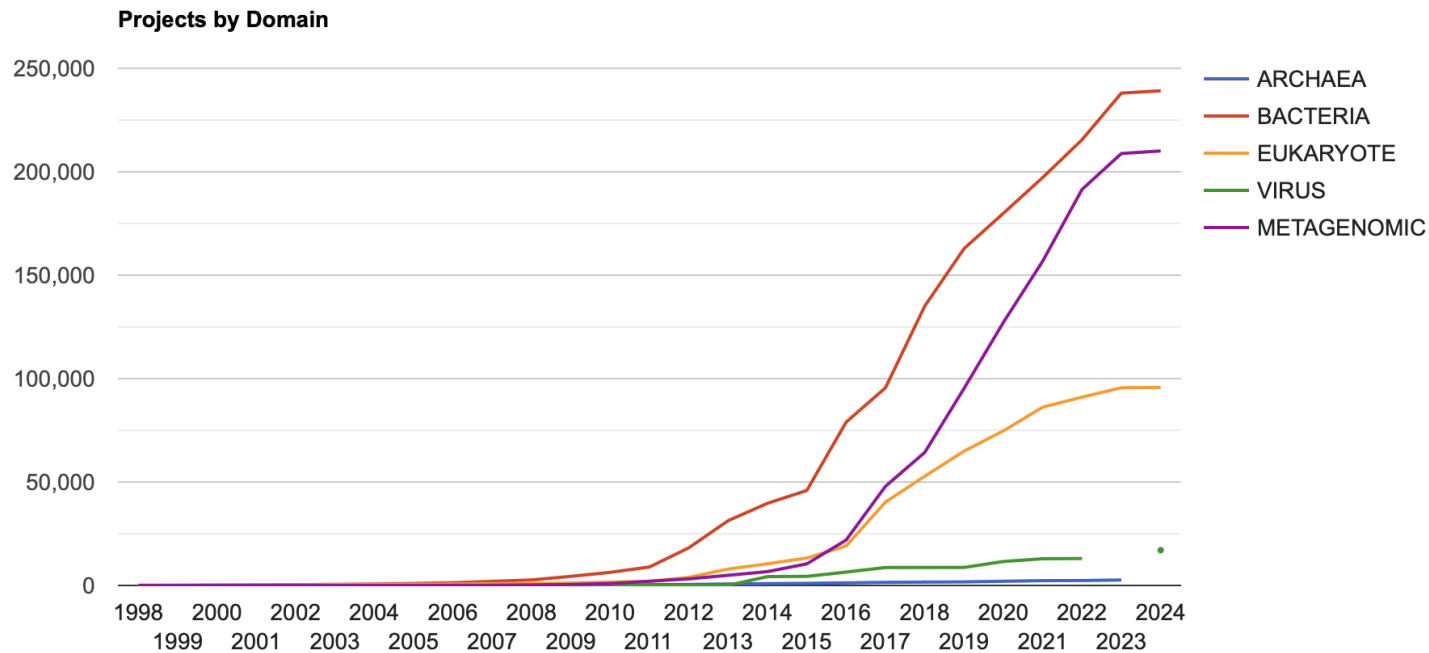
Hélène Chiapello



Valentin Loux

# Sequenced genomes by kingdom

Project Totals in GOLD (by year and Domain Group)



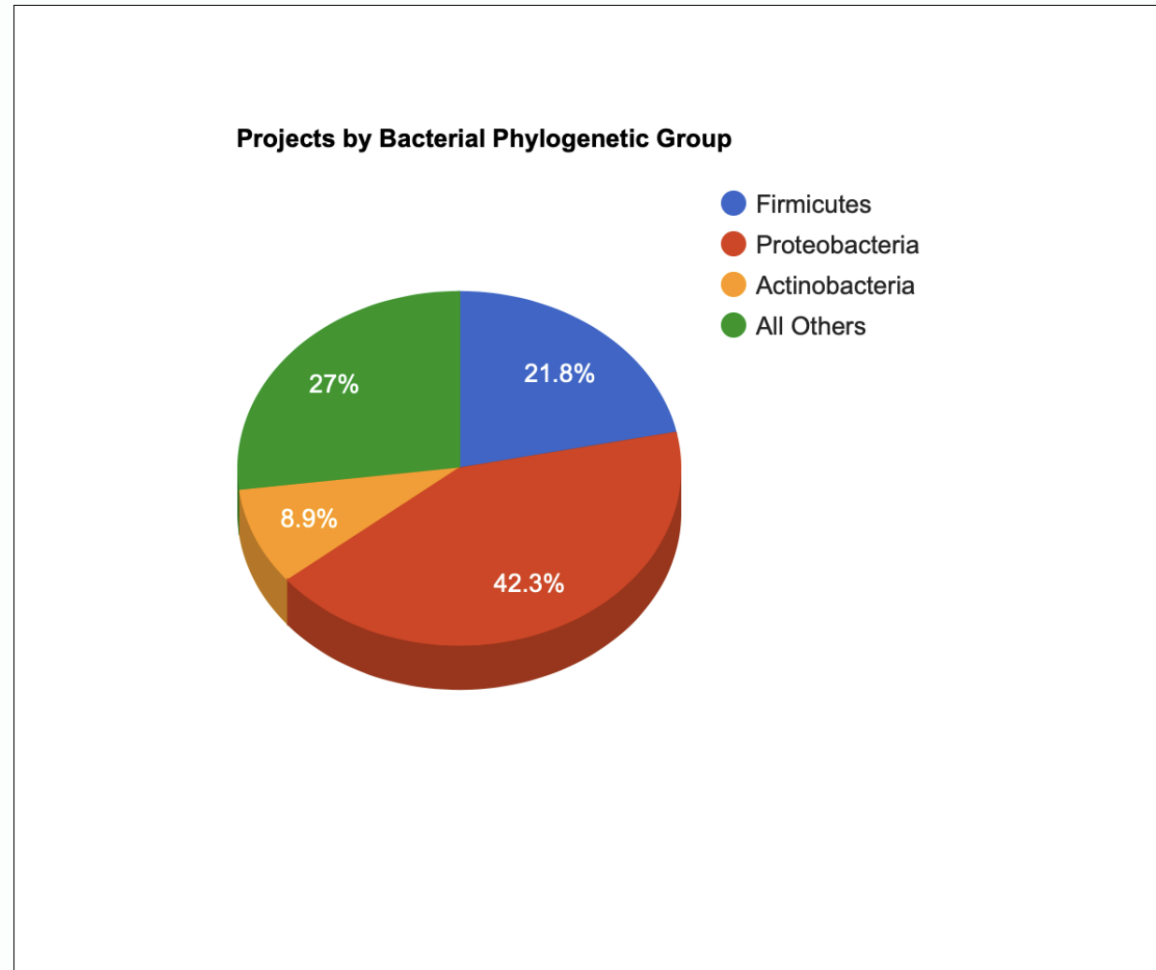
June 24

- Archea : 2 629
- Eukaryote : 95 593
- Virus : 13 009
- Metagenomics : 210 060
- Bacteria : 239 146

⚠ genomes in GOLD ≠ assembly in Genbank (> 2 M bacteria)

# Sequenced genomes by kingdom

Phylogenetic distribution of Bacterial Genome Projects



# Why using public data and do comparative genomics ?

- Answer to (not so simple) questions like :
  - What is the genomic diversity into a species / genus ?
  - Is the genome structure conserved into a species / genus ?
  - How does the gene repertory evolves into a species / genus ?
  - Is the gene repertory corelated to a given habitat ?
- Does this diversity could explain a given phenotype :
  - metabolism
  - probiotics (anti-inflammatory)
  - pathogenicity
- ...



# Dataset building

**Genomes of interest** could be

- already **published** and **available** at public databanks (ENA, NCBI, ...)
- private, not yet published.

At least, **we need** :

- [As much as possible] **complete genome assemblies** (contigs / scaffolds in Fasta format)
- Syntactic and functional annotation :
  - Genbank or GFF format
  - For private genomes, you could/should use Bakta [remember what GG told you yesterday afternoon ?]

It's always better, if not mandatory, if [syntactic] **annotation is homogeneous**



# Quick reminder on formats !



INRAE

EBAii Assemblage & Annotation

27/09/22/ MaIAGE-Migale/ H. Chiapello, V. Loux

# FASTA format

The **FASTA format** is used to represent **sequence information**.

The format is very simple:

A **>** symbol on the FASTA header line indicates a fasta record start.

A string of letters called the sequence id may follow the **>** symbol.

The header line may contain an arbitrary amount of text (including spaces) on the same line.

Subsequent lines contain the sequence.

```
>foo
ATGCC
>bar other optional text could go here
CCGTA
>bidou
ACTGCAGT
TTCGN
>repeatmasker
ATGTGTcgggggggATTTT
>prot2; my_favourite_prot
MTSRRSVKSGPREVPRDEYEDLYYTP
SSGMASP
```





# Genbank Format

The Genbank format is used to represent sequence **and** annotation information together.

The start of the annotation section is marked by a line beginning with the word “**LOCUS**”.

Features (CDS, genes) are annotated with their position , strand, and qualifiers that contain the annotation.

The **start of sequence** section is marked by a line beginning with the word “**ORIGIN**” and the end of the section is marked by a line with only “**///**”.

Those three bank agree on the list of **feature / qualifier** that one can use to annotate sequence. (Cf <https://www.ebi.ac.uk/ena/WebFeat/> )

NCBI, ENA (European Nucleotide Archive) et DDBJ (Japan) entries are synchronized each day.



# Genbank entry example

```
LOCUS      SCU49845      5028 bp      DNA      PLN      21-JUN-1999
DEFINITION Saccharomyces cerevisiae partial genes.
ACCESSION  U49845
VERSION    U49845.1  GI:1293613
KEYWORDS   .
SOURCE     Saccharomyces cerevisiae (baker's yeast)
  ORGANISM Saccharomyces cerevisiae
            Eukaryota; Fungi; Ascomycota; Saccharomycotina; Saccharomycetes;
            Saccharomycetales; Saccharomycetaceae; Saccharomyces.
REFERENCE  1  (bases 1 to 5028)
  AUTHORS  Torpey,L.E., Gibbs,P.E., Nelson,J. and Lawrence,C.W.
  TITLE    Cloning and sequence of REV7, a gene whose function is required for
            DNA damage-induced mutagenesis in Saccharomyces cerevisiae
  JOURNAL  Yeast 10 (11), 1503-1509 (1994)
  PUBMED   7871890
FEATURES   Location/Qualifiers
     source          1..5028
                     /organism="Saccharomyces cerevisiae"
                     /db_xref="taxon:4932"
                     /chromosome="IX"
                     /map="9"
     CDS             <1..206
                     /codon_start=3
                     /product="TCP1-beta"
                     /protein_id="AAA98665.1"
                     /db_xref="GI:1293614"
                     /translation="SSINYNGISTSGLDLNNGTIADMRQLGIVESYKLRVSSASEA
                     AEVLI RVDNITTRARPRTANROHM"
```

# GFF Format

The **General Feature Format** contains **annotation** and (optionally) **sequence**. It consists of one line per feature, each containing 9 columns of data, plus optional track definition line.

```
##gff-version 3
##sequence-region NZ_LHTK01000001 1 688985
# organism Salmonella enterica subsp. arizonae serovar 62:z36:- str. 5335/86
# date 17-JAN-2020
NZ_LHTK01000001    GenBank    contig     1      688985    .      +      1      ID=NZ_LHTK01000001;Dbxref=BioProject:PRJNA224116,taxon
NZ_LHTK01000001    GenBank    pseudogene 1      1014      .      -      1      ID=LFZ49_RS22320.pseudogene;Alias=LFZ49_RS22320;Name
NZ_LHTK01000001    GenBank    gene       1011     1634      .      -      1      ID=LFZ49_RS00010;Name=LFZ49_RS00010;old_locus_tag=LFZ49
NZ_LHTK01000001    GenBank    mRNA       1011     1634      .      -      1      ID=LFZ49_RS00010.t01;Parent=LFZ49_RS00010
```



# Practical : public genomes

How to gather a list of public genomes of interest ?

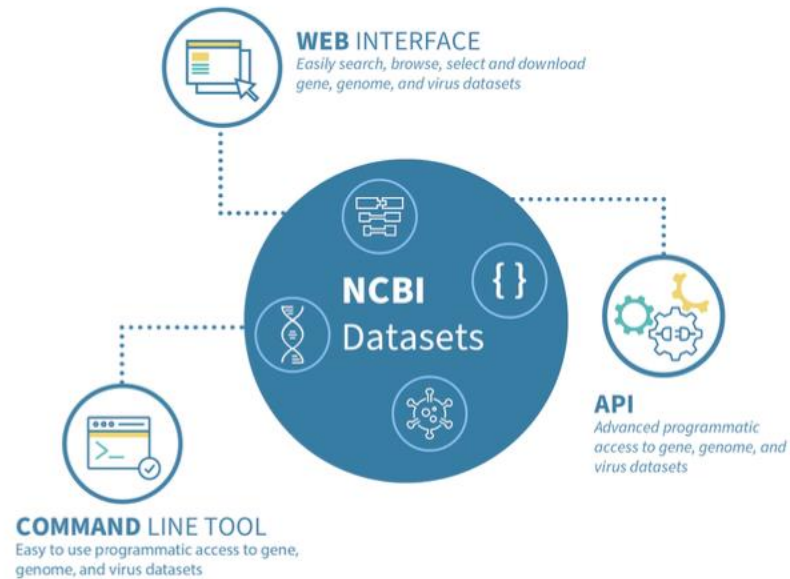
List **all** available public genomes of interest with :

- Associated metadata
- Metrics quality (size, N50, completeness...)
- Filter according to above criteria
- Download genomes in **various formats**

Work from the [complete list of prokaryotic public genomes](https://www.ncbi.nlm.nih.gov/datasets/genome/) available at NCBI [<https://www.ncbi.nlm.nih.gov/datasets/genome/>]



# A solution : NSBI datasets



NCBI Datasets components

NCBI Datasets is a new resource that lets you easily gather data from across NCBI databases. You have the choice of getting the data through three interfaces:

- NCBI Datasets website Command-line tools
- API (Application programming Interface)

NCBI Datasets delivers data and metadata as a **cohesive data package** contained in a zip archive. i.e., for an **assembly** : **sequences, annotation (CDS, transcripts, genome...) and metadata.**

# Source for genome assemblies

- **A GenBank (GCA)** genome assembly contains assembled genome sequences submitted by investigators to GenBank or another member of the International Nucleotide Sequence Database Collaboration (INSDC)
- **A RefSeq (GCF)** genome assembly represents an NCBI-derived copy of a submitted GenBank (GCA) assembly. In the majority of cases, the annotation is generated by the NCBI prokaryotic or eukaryotic genome annotation pipelines

	GCA_	GCF_
Also known as	GenBank assembly	RefSeq assembly
Submitter-owned assembly archive	✓	✗
NCBI-maintained assembly copy	✗	✓
Always includes annotation	✗	✓
NCBI may add sequences (e.g. mitochondrial genomes)	✗	✓
NCBI may remove sequences (e.g. contamination)	✓ *	✓

\* following submitter request or agreement

NCBI Datasets website genome sources

Source : [Dataset documentation](#)



INRAE

EBAii Assemblage & Annotation

27/09/22/ MaIAGE-Migale/ H. Chiapello, V. Loux

# NCBI Datasets : Datasets Genome Table

**Genome**

Download a genome data package including genome, transcript and protein sequence, annotation and a data report

Selected taxa: **Aves (birds)** **Apidae (bees)** **View multiple taxa**

Filters: RefSeq annotation: 2020-2022

STATUS: **Filter results**

☐ Reference genomes

☒ Annotated genomes

☒ Annotated by NCBI RefSeq

☐ Annotated by GenBank submitter

☐ Exclude atypical genomes

**More accurate genome counts**

Download Select columns 40 genomes 2 selected Rows per page 20 1-20 of 40

Assembly	Scientific name	Modifier	Annotation	Size (Mb)	Level	Year	Action
<input checked="" type="checkbox"/> ZJUT.0 <b>reference</b> RefSeq: GCF_015476345.1 GenBank: GCA_015476345.1	Anas platyrhynchos mallard	Pekin duck	<b>NCBI RefSeq</b>	1,189	Chromosome	2020	
<input checked="" type="checkbox"/> ASM1406632v1 <b>reference</b> RefSeq: GCF_014066325.1 GenBank: GCA_014066325.1	Apis laboriosa Himalayan honeybee	Shangri-la	<b>NCBI RefSeq</b>	226.1	Scaffold	2020	
<input type="checkbox"/> bAquaChr1.4 <b>reference</b> RefSeq: GCF_000493950.4 GenBank: GCA_000493950.4	Aquila chrysaetos chrysaetos		<b>NCBI RefSeq</b>	1,234	Chromosome	2021	

**Easily find NCBI annotation**

Selected taxa: **Human gut metagenome** **Find metagenomes**

Filters:

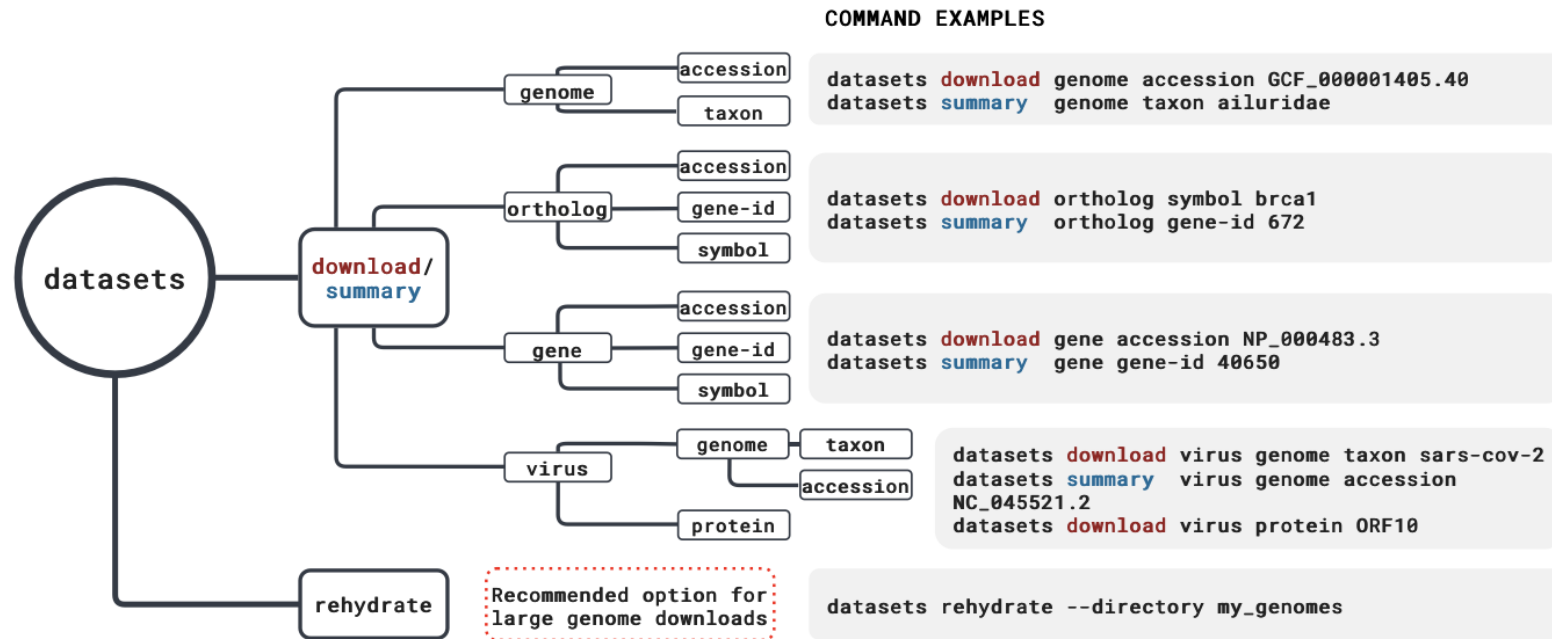
Download Select columns 1,092 genomes 3 selected Rows per page 20 1-20 of 1,092

Assembly	Scientific name	Size (Mb)	Level	Year	Submitter	BioProject	Action
<input checked="" type="checkbox"/> ASM20576v1 GenBank: GCA_000057683.1	<b>human gut metagenome</b>	53.71	Contig	2010	Washington University	PRJNA43253	
<input checked="" type="checkbox"/> ASM20576v1 GenBank: GCA_000057683.1	human gut metagenome	85.42	Contig	2010	Washington University	PRJNA43253	View details
<input checked="" type="checkbox"/> ASM20792v1 GenBank: GCA_000057925.1	human gut metagenome	43.47	Scaffold	2007	The University of Tokyo		Download

Figure Source

- Find **all current genomes**, including metagenomes  
View **multiple taxa** such as birds and bees, or polyphyletic groups like fish
- Easily find genomes with **NCBI RefSeq** annotations  
Get more accurate genome counts, since **each row now represents a single genome with GenBank and RefSeq accessions** for that genome in the same row
- **Customize your downloads** to include either GenBank or RefSeq files, or both  
Download **tables** or **data packages**

# NCBI Datasets : Command Line

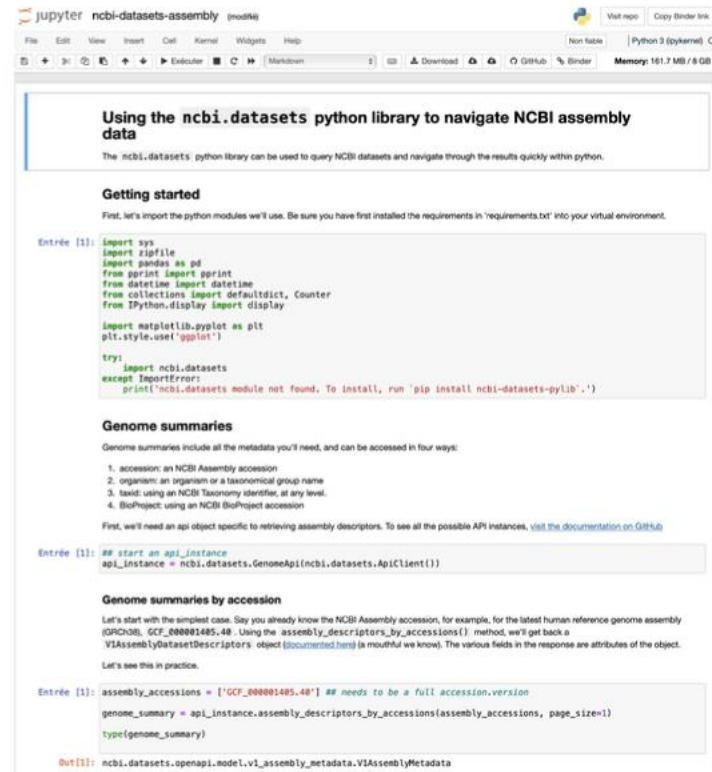


## genome options :

- summary according to accession or taxid
- filter according to quality criteria & metadata
- download packages (or rehydrate) in various formats



# NCBI Datasets : Application Programmatic Interface



The screenshot shows a Jupyter Notebook interface with the title 'ncbi-datasets-assembly'. The notebook content includes a title 'Using the ncbi.datasets python library to navigate NCBI assembly data', a 'Getting started' section with installation instructions, a 'Genome summaries' section with a list of four ways to access metadata, and a 'Genome summaries by accession' section with code to retrieve assembly descriptors by accession. The code is written in Python and includes comments in French. The output shows the successful retrieval of assembly metadata.

```
Entrée [1]: import sys
import zipfile
import pandas as pd
from pprint import pprint
from datetime import datetime
from collections import defaultdict, Counter
from IPython.display import display

import matplotlib.pyplot as plt
plt.style.use('ggplot')

try:
    import ncbi.datasets
except ImportError:
    print('ncbi.datasets module not found. To install, run 'pip install ncbi-datasets-pylib'.')
```

Genome summaries by accession

```
Entrée [1]: assembly_accessions = ['GCF_000001485.48'] # needs to be a full accession.version
genome_summary = api_instance.assembly_descriptors_by_accessions(assembly_accessions, page_size=1)
type(genome_summary)
```

Out[1]: ncbi.datasets.openapi.model.v1\_assembly\_metadata.V1AssemblyMetadata

## Jupyter Notebook



INRAE

EBAii Assemblage & Annotation

27/09/22/ MaIAGE-Migale/ H. Chiapello, V. Loux

# NCBI Datasets : Galaxy Integration

**Tools**

search tools

Upload Data

**Get Data**

NCBI Datasets Genomes download genome sequence, annotation and metadata

Download and Generate Pileup Format from NCBI SRA

Faster Download and Extract Reads in FASTQ format from NCBI SRA

Download and Extract Reads in FASTA/Q format from NCBI SRA

Download and Extract Reads in BAM format from NCBI SRA

Get species occurrences data from GBIF, ALA, INAT and others

NCBI Accession Download Download sequences from GenBank/RefSeq by accession through the NCBI ENTREZ API

BARIC Archive Toulouse

BARIC Archive Rennes

Upload File from your computer

UCSC Main table browser

UCSC Archaea table browser

EBI SRA ENA SRA

modENCODE fly server

InterMine server

Flymine server

modENCODE modMine server

MouseMine server

Ratmine server

YeastMine server

modENCODE worm server

WormBase server

ZebrafishMine server

EuPathDB server

HBVar Human Hemoglobin Variants and Thalassemias

**NCBI Datasets Genomes download genome sequence, annotation and metadata (Galaxy Version 13.35.0+galaxy0)**

**Query**

Choose how to find genomes to download

Download by NCBI assembly or BioProject accession

Enter accession or read from file ?

Enter accessions

Enter space separated list of accessions

Can be NCBI Assembly or BioProject accession

**Filters and Limit**

Limit to reference and representative (GCF\_ and GCA\_) assemblies

☒ No  
(--reference)

Only include genomes with annotation ?

☒ No  
(--annotated)

Restrict assemblies to a comma-separated list of one or more of these

☐ Select/Unselect all

(--assembly-level)

**assembly\_source**

Nothing selected  
(--assembly-source)

Limit chromosomes to a comma-delimited list of chromosomes

(--chromosomes)

Only include genomes that have been released before a specified date (MM/DD/YYYY)

(--released-before)

Only include genomes that have been released since a specified date (MM/DD/YYYY)

(--released-since)

**Add search terms**

+ Insert Add search terms

**File Choices**

**Exclude genomic sequence file**

☒ No  
(--exclude-seq)

**Exclude gff3 annotation file**

☒ No  
(--exclude-gff3)

**Exclude cds from genomic sequence file**

☒ No  
(--exclude-genomic-cds)

**Exclude protein sequence file**

☒ No  
(--exclude-protein)

**Exclude transcript sequence file**

☒ No  
(--exclude-rna)

**Include GenBank flat file sequence and annotation, if available**

☒ No  
(--include-gbff)

**Include gtf annotation file, if available**

☒ No  
(--include-gtf)

**Uncompress the dataset archive**

☒ Yes

**Email notification**

☒ No  
Send an email notification when the job completes.

✓ Execute

A wrapper of the command line tool

Parameters to define packages files

# NCBI Datasets : Galaxy Integration

## A few caveats of the wrapper :

- Some (not so easy) errors when select / filter fails
- Often broken 😞
- Impossible to just download a list of **genomes as a file** and "**rehydrate**" it after

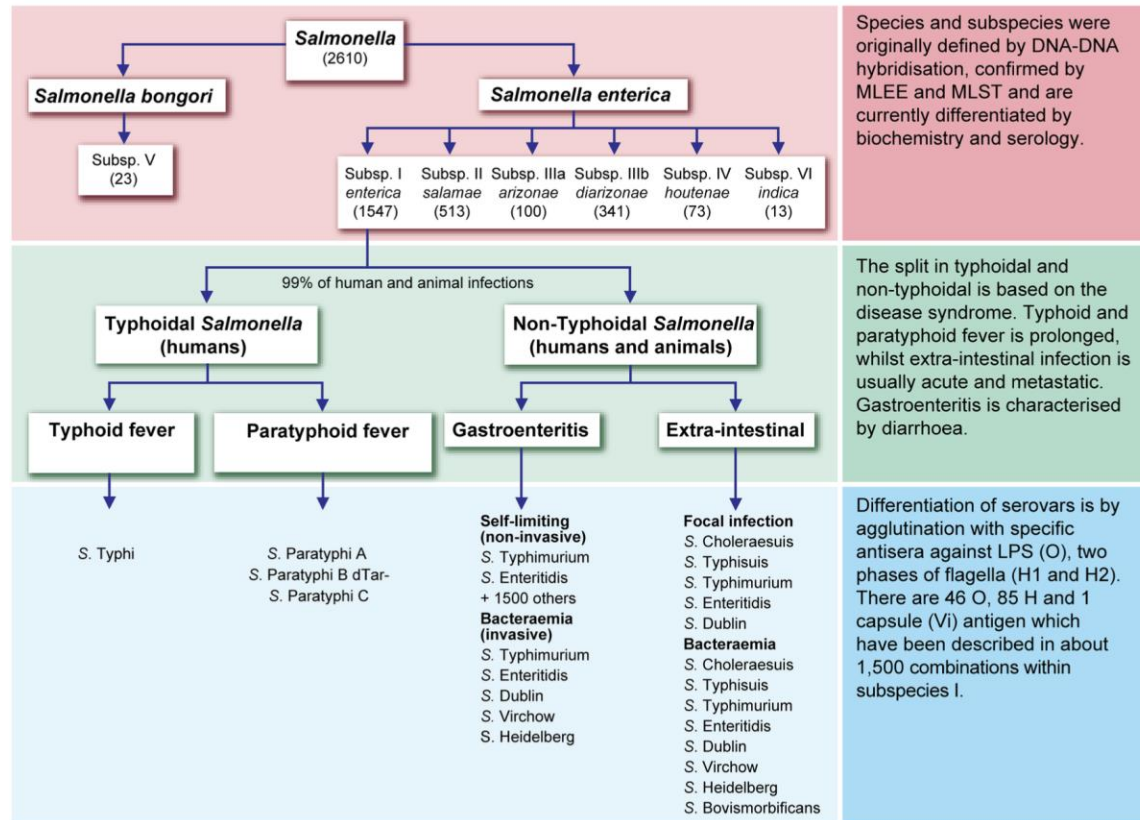
## What we recommend to do [for now] :

- use the NCBI dataset genome page to **browse / filter a list of genomes** of interest, and choose one of these solution
  - **A few genomes :**
    - Download the genomes as package and re-upload into Galaxy 😞
  - **A lot of genomes :**
    - Extract the URL of interest of the files from the TSV, feed it into Galaxy



# The training datasets

We will work on 3 datasets of public *Salmonella* genomes



## Genome

Download a genome data package including genome, transcript and protein sequence, annotation and a data report

Selected taxa:  Enter one or more taxonomic names

Filters

Download Select columns 217 949 Genomes Rows per page 20 1-20 of 217 949

<input type="checkbox"/>	Assembly	GenBank	RefSeq	Scientific name	Modifier	Annotation	Action
<input type="checkbox"/>	<a href="#">S. enterica</a>	<a href="#">GCA_000000000</a>	<a href="#">GCF_000000000</a>	<i>Salmonella enterica</i> subsp. <i>enterica</i>	178 (GenBank)	<a href="#">NCBI RefSeq</a>	<a href="#">+</a>

- 217 949 *Salmonella enterica enterica* public assemblies at NCBI!!

# Training

We will construct 3 datasets of public *Salmonella* genomes

- **Dataset 1:** list all *Salmonella enterica* subsp. *enterica* assemblies using their taxon id and assembly level (Chromosome)
- **Dataset 2:** list all the ***Salmonella bongori*** assemblies to choose and download the best outgroup of a *salmonella enterica* dataset
- **Dataset 3:** download 16 *Salmonella enterica* public assemblies (2 sub-species, 4 serotypes) from their accession numbers



# Data set 1 : Taxonomy browser

NCBI Datasets **Taxonomy** Genome Gene Command-line tools Documentation

## Taxonomy Browser

Selected taxa

Salmonella enterica subsp. enterica Enter one or more taxonomic names

Taxonomic name	Genomes
▼ Bacteria	2,106,131
▼ Pseudomonadota (purple photosynthetic bacteria and relatives)	1,217,799
▼ Gammaproteobacteria	1,107,034
▼ Enterobacterales	954,860
▼ Enterobacteriaceae	936,966
▼ Salmonella	532,645
▼ Salmonella enterica	532,098
▼ Salmonella enterica subsp. enterica	217,949

List all *Salmonella enterica subsp. enterica* assemblies using their *taxon id* and *assembly level* (Chromosome)



INRAE

EBAii Assemblage & Annotation

27/09/22/ MaIAGE-Migale/ H. Chiapello, V. Loux

# Data set 1 : genome table

NCBI DatasetsTaxonomyGenomeGeneCommand-line toolsDocumentation

Genome

Download a genome data package including genome, transcript and protein sequence, annotation and a data report

Selected taxa

Salmonella enterica subsp. entericaEnter one or more taxonomic names

Filters

DownloadSelect columns

217 949 Genomes

Rows per page201-20 of 217 949

<input type="checkbox"/> Assembly	GenBank	RefSeq	Scientific name	Modifier	Annotation	Action
<input type="checkbox"/> ASM694v2	GCA_000006945.2	GCF_000006945.2	Salmonella enterica subsp. ent...	LT2 (strain)	NCBI RefSeq Submitter	⋮
<input type="checkbox"/> ASM1433415v1	GCA_014334155.1	GCF_014334155.1	Salmonella enterica subsp. ent...	LT2 (strain)	NCBI RefSeq Submitter	⋮
<input type="checkbox"/> ASM74305v1	GCA_000743055.1	GCF_000743055.1	Salmonella enterica subsp. ent...	ATCC 13311 (strain)	NCBI RefSeq Submitter	⋮
<input type="checkbox"/> ASM1556573v1	GCA_015565735.1	GCF_015565735.1	Salmonella enterica subsp. ent...	NCTC 74 (strain)	NCBI RefSeq Submitter	⋮

- Notice :
  - Filter parameters
  - Select columns button
  - Download button (table or package)

## •Data set 2: download a genome

### Genome

Download a genome data package including genome, transcript and protein sequence, annotation and a data report

Selected taxa

Salmonella bongori ✕ Enter one or more taxonomic names ✕

Filters Reference ✕ RefSeq annotation ✕

Download ▾ Select columns 1 Genome Rows per page 20 ▾ 1-1 of 1 < >

<input type="checkbox"/> Assembly	GenBank	RefSeq	Scientific name	Modifier	Annotation	Action
<input type="checkbox"/> ASM43925v1 	GCA_000439255.1	GCF_000439255.1	Salmonella bongori N268-08	N268-08 (strain)	NCBI RefSeq Submitter	⋮

Rows per page 20 ▾ 1-1 of 1 < >

List all the *Salmonella bongori* assemblies to choose the best outgroup of a *Salmonella enterica* dataset

Download the genome in Genbank, nuclotide and fasta and GFF format



INRAE

EBAii Assemblage & Annotation

27/09/22/ MaIAGE-Migale/ H. Chiapello, V. Loux



## Data set 3 : 16 *S. enterica* public genomes (part 1)

Assembly_accession	Subspecies	Serotype	Strain	assembly_level
GCF_001951465.1	arizonae	18:z4,z23	CVM N27	Scaffold
GCF_001448925.1	arizonae	62:z36	5335/86	Contig
GCF_000756465.1	arizonae	62:z36	RKS2983	Complete Genome
GCF_000018625.1	arizonae	62:z4	z23	Complete Genome
GCF_000983595.1	enterica	ParatyphiA	na	Scaffold
GCF_000026565.1	enterica	ParatyphiA	AKU_12601	Complete Genome
GCF_000011885.1	enterica	ParatyphiA	ATCC 9150	Complete Genome
GCF_000484015.1	enterica	ParatyphiB	SARA61	Contig



## Data set 3 : 16 *S. enterica* public genomes (part 1)

Assembly_accession	Subspecies	Serotype	Strain	assembly_level
GCF_001951465.1	arizonae	18:z4,z23	CVM N27	Scaffold
GCF_900002585.1	enterica	Typhi	na	Scaffold
GCF_000256015.1	enterica	Typhi	BL196	Contig
GCF_000195995.1	enterica	Typhi	CT18	Complete Genome
GCF_000007545.1	enterica	Typhi	Ty2	Complete Genome
GCF_001120665.1	enterica	Typhimurium	DT104	Scaffold
GCF_000006945.2	enterica	Typhimurium	LT2	Complete Genome
GCF_000210855.2	enterica	Typhimurium	SL1344	Complete Genome
GCF_000312745.2	enterica	Typhimurium	STm6	Contig



# Data set 3 : from a tabular file

**Download** 16 *Salmonella enterica* public assemblies (2 sub-species, 4 serotypes) from their *accession numbers*.

**Input : [Filtered]** List of assembly accession in a tabular file downloaded from **Dataset genome Table**

- Import `ncbi_dataset_salmonella_genome_table.tsv` from **Shared Data / Data Library / EBAII A&A 2022 / Prokaryotic Annotation / NCBI Dataset**
- **Filter lines concerning Refseq assemblies** ( starts with "GCF\_") using **Select lines that match an expression** tool
- Select the first column of the file ( Assembly Accession) using **Cut columns from a table**
- Feed **NCBI Datasets Genomes** download genome sequence, annotation and metadata with the list of accession

BROKEN 😞

- Retrieve all file format of interest **including** genbank annotated files

- [also in **Shared Data / Data Library/ Libraries /EBAII A&A 2022 Prokaryotic annotation/ Salmonella dataset**]

BROKEN 😞



# Construction and analysis of prokaryotic genomic dataset

## Outline

- > Constructing a genome dataset

- > Analyzing the genome dataset

- > Comparing and dereplicating the dataset



# Analyzing a genome dataset

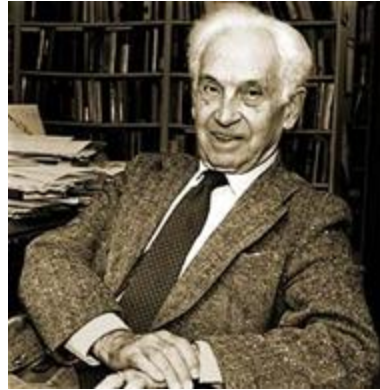
Why?

- Frequent problems in genome analysis and comparison
  - Heterogenous quality of sequencing and assembly
  - Presence of huge number of public genomes OR absence of any close genomes of the same species in public databases
  - Difficulties regarding microbial taxonomy (classification) and nomenclature (naming of genus, species and strain naming) for many non-model organisms



# Introduction

- What is a species?



Ernst Mayr (1942) :

*“Species are groups of actually or potentially interbreeding natural populations, which are reproductively isolated from other such groups”*

⇒ **Not relevant for bacteria**

# What is a bacterial species?

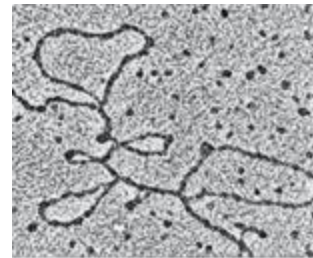
No consensual definition for procaryotes

- ▶ No universal criteria
- ▶ Several approaches used to classify bacterial
  - Phenotypes and morphological criteria
  - DNA-DNA hybridization
- ▶ Universal markers
  - 16S rRNA
  - MLST (Multi Locus Sequence Typing)
- ▶ Genomic-based taxonomy are now becoming a gold-standard

% ADN-ADN hybridization	>70%
-------------------------	------



% rRNA 16S identity	>98,7%
---------------------	--------



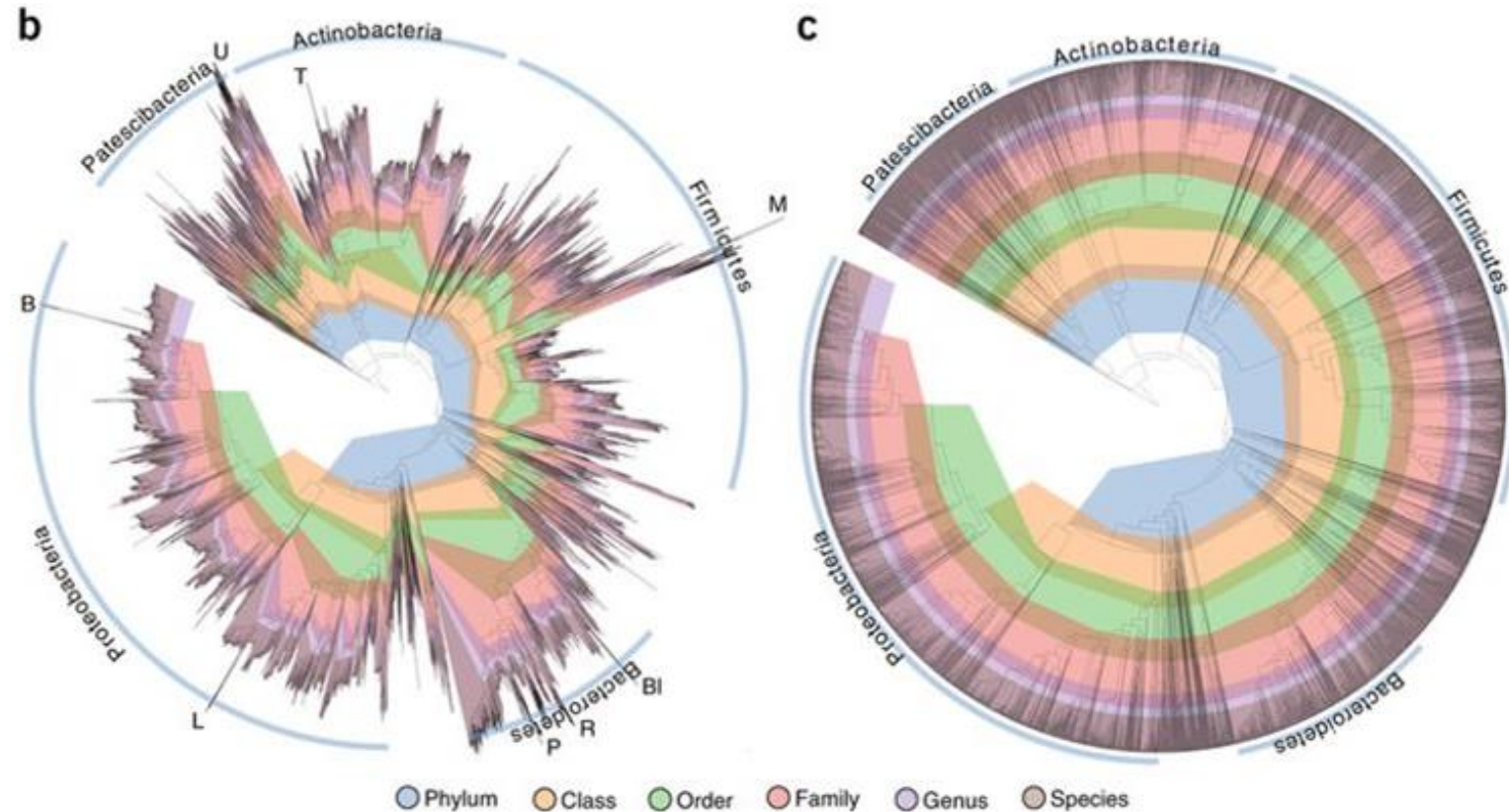


# Example: the Genome-based taxonomy for prokaryotic genomes

- Objective: a standardized microbial taxonomy based on genome phylogeny
- Taxonomy inferred from concatenated single copy marker proteins

Parks et al. 2018, 2021

<https://gtdb.ecogenomic.org/>





# Evaluating genome diversity in a dataset

- Why ?

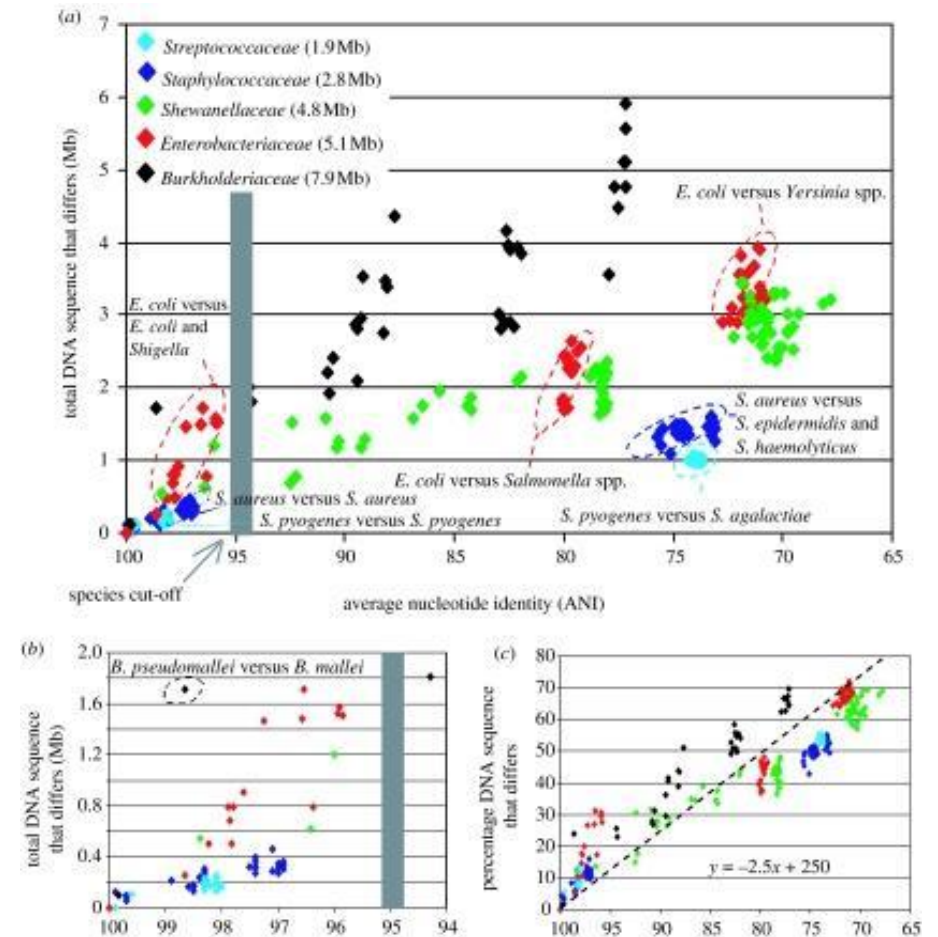
- Identify outlier genomes
- Identify groups of (very) similar genomes and de-replicate datasets
- Estimate genome similarity in a dataset and design an adapted comparative strategy

## How ?

- Alignment based approaches (ANI)
- k-mer based approaches (MASH)

# Average Nucleotide Identity (ANI)

- Meet the need for a robust measure of genomic relatedness and a systematic and scalable species assignation technique
- Mean identity percent of aligned regions of a pair of genomes
- Rely on pairwise alignments from
  - aligned core genes
  - genomic alignments
- Can easily be used to build phylogenetics tree using distance methods
- Is implemented in several bioinformatics tools: ANIn (nucmer based, Richter 2009), gANI (coding regions, Varghese 2015), fastANI (computing efficiency, Jain 2018), ...

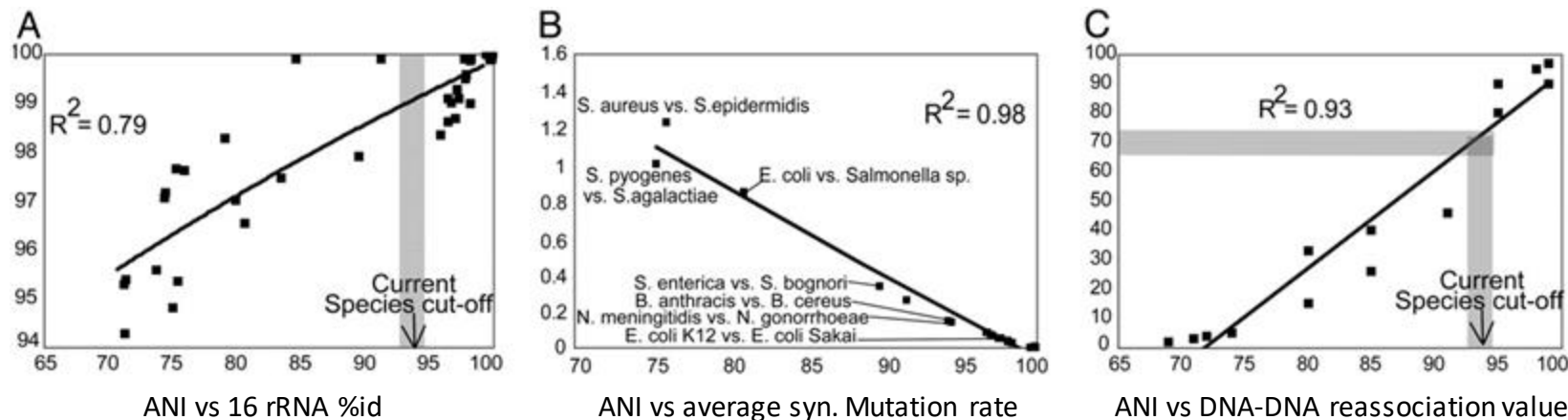


Genetic diversity within five important bacterial groups.  
 Konstantidinis et al. 2006. The bacterial species definition in the genomic era  
 DOI: 10.1098/rstb.2006.1920



# Average Nucleotide Identity (ANI)

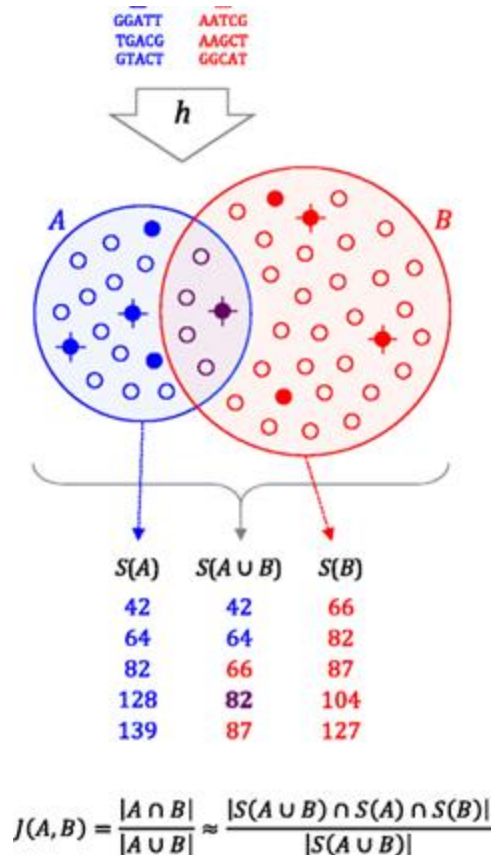
- ANI strongly correlates ( $R = 0.79$  for logarithmic correlation) with the 16S rRNA gene sequence identity and can resolve areas where the 16S rRNA gene is inadequate (intra-species level)
- The average rate of synonymous substitutions shows a tight correspondence to ANI, suggesting that ANI may also be a useful descriptor of the evolutionary distance
- ANI shows a strong linear correlation to DNA–DNA reassociation values, and the 70% DNA–DNA reassociation standard corresponds to  $\approx 93$ – $94\%$  ANI i.e. strains that show  $>94\%$  ANI should belong to the same species



Konstantidinis et al. 2005. Genomic insights that advance the species definition for prokaryotes  
<https://doi.org/10.1073/pnas.0409727102>

# MASH: fast (meta)genome distance estimation using MinHash

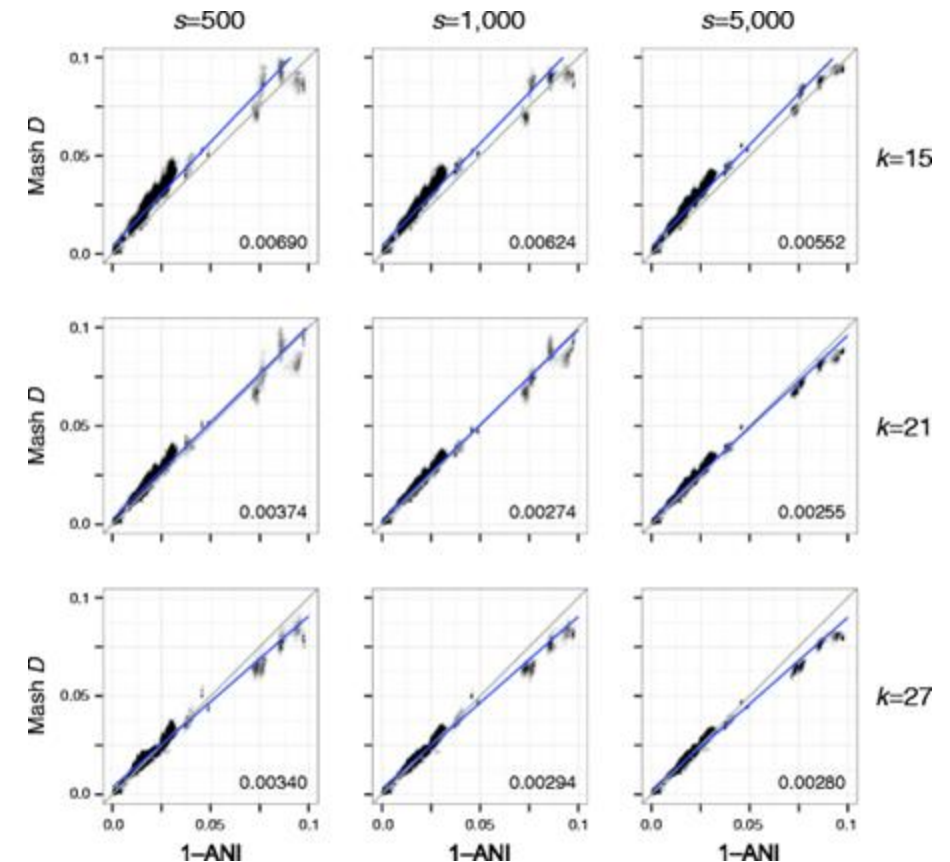
- Mash allows to compute a pairwise mutation distance without alignment using k-mer counts
- Mash provides two basic functions for sequence comparisons:
  - **sketch**: converts a sequence or collection of sequences into a MinHash sketch
  - **dist**: compares two sketches and returns an estimate of the Jaccard index (i.e. the fraction of shared k- mers), a P value, and the Mash distance



Ondov, B.D., Treangen, T.J., Melsted, P. et al. Mash: fast genome and metagenome distance estimation using MinHash. Genome Biol 17, 132 (2016). <https://doi.org/10.1186/s13059-016-0997-x>

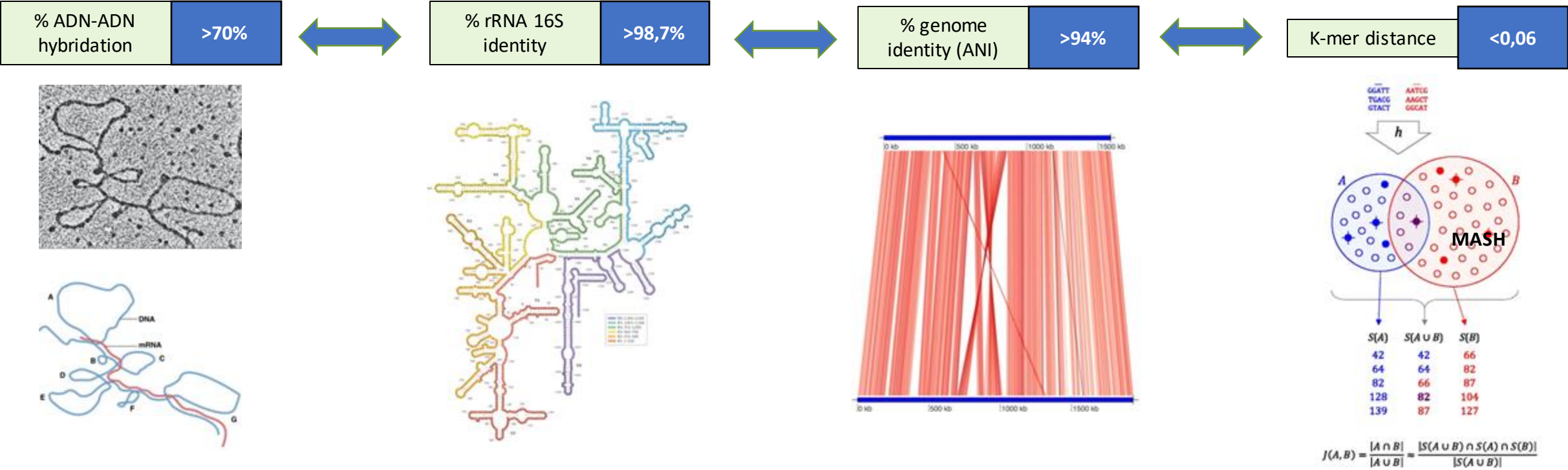
# MASH distances correlate well with ANI

- Dataset: 500 complete *E. coli* genomes
  - Each plot column shows a different sketch size
  - Each plot row a different k-mer size  $k$ .
  - Gray lines: model relationship  $D = 1 - \text{ANI}$
- Increasing the sketch size improves the accuracy of the MASH distance, especially for more divergent sequences.
- Limit on how well the MASH distance can approximate ANI, especially for more divergent genomes (e.g. ANI considers only the core genome)



Ondov, B.D., Treangen, T.J., Melsted, P. et al. Mash: fast genome and metagenome distance estimation using MinHash. *Genome Biol* 17, 132 (2016). <https://doi.org/10.1186/s13059-016-0997-x>

# Back to procaryote taxonomy





# Quality Control & filter

- Already presented: evaluate Quality using the 3Cs
  1. **Contiguity.** Produce the longest possible contigs.
  2. **Correctness.** Assemble contigs with few/no errors.
  3. **Completeness.** Cover the entire original sequence and minimize missing regions
- An additional key point for microbes: evaluate **Contamination**
  - From genomic fragments of divergent taxa
  - From genomic fragments of multiple strains (i.e. strain **heterogeneity**)
  - Be sure that the “announced” taxa is good

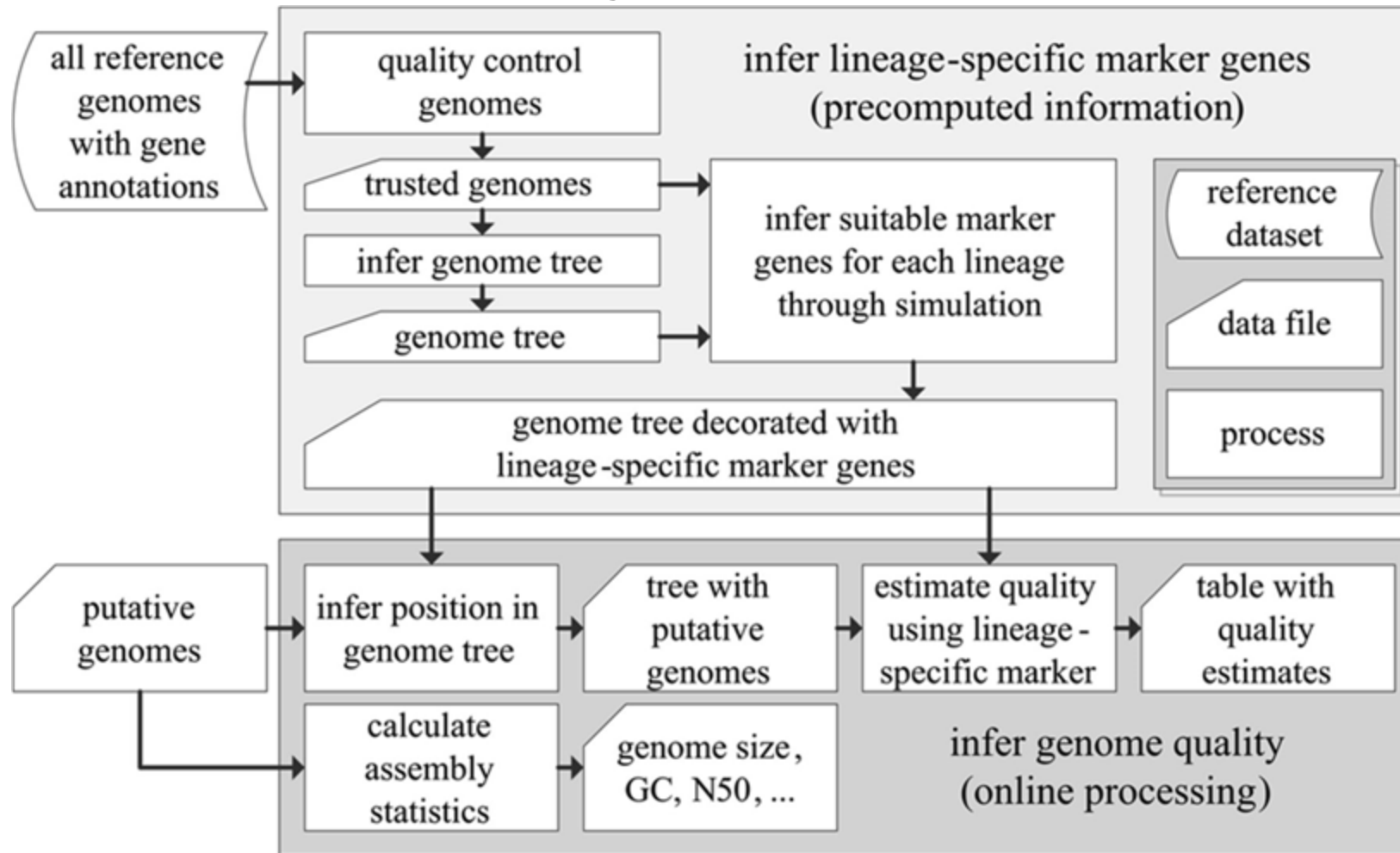
# CheckM

- a set of tools for assessing the quality of genomes recovered from isolates, single cells, or metagenomes
- provides robust estimates of genome **completeness** and **contamination**
  - use collocated sets of genes that are ubiquitous and single-copy within a phylogenetic lineage
  - propose a fixed vocabulary for defining genome quality based on estimates of completeness and contamination
- Evaluate by simulations the accuracy of quality estimates





**CheckM consists of a workflow for precomputing lineage-specific marker genes for each branch within a reference genome tree (top box) and an online workflow for inferring the quality of putative genomes (bottom box).**



Donovan H. Parks et al. *Genome Res.* 2015;25:1043-1055 © 2015 Parks et al.; Published by Cold Spring Harbor Laboratory Press



# CheckM relies on several other tools and data

- *prodigal* to predict genes
- A reference genome tree based on 43 phylogenetically informative marker genes and 5656 trusted reference genomes
  - Marker genes are identified in assemblies using **HMMER**
  - The resulting genes are used to place the genome into the tree using *pplacer*
- Lineage-specific marker sets determined for all nodes within the reference genome tree by identifying single-copy genes present in  $\geq 97\%$  of all descendant genomes.



# CheckM report

Provides classic quality metrics and plots, including:

- Results of binning

- >Marker lineage, #genomes, #markers, #marker sets

- CheckM metrics

- > Completeness, Contamination, Strain heterogeneity

- Classical Quality metrics

- > #ambiguous bases, #scaffolds, #contigs, N50 (scaffolds), N50 (contigs), Mean scaffold length (bp), Mean contig length (bp), Longest scaffold (bp), Longest contig (bp), GC, GC std (scaffolds > 1kbp)

## CheckM report – binning part

**Marker lineage:** indicates the taxonomic rank of the lineage-specific marker set used to estimated genome completeness, contamination, and strain heterogeneity.

**#genomes:** number of reference genomes used to infer the lineage-specific marker set

**#markers:** number of marker genes within the inferred lineage-specific marker set

**#marker sets:** number of co-located marker sets within the inferred lineage-specific marker set

**0-5+:** number of times each marker gene is identified

# CheckM report

- **Completeness:** estimated completeness of genome as determined from the presence/absence of marker genes and the expected colocalization of these genes
- **Contamination:** estimated contamination of genome as determined by the presence of multi-copy marker
- **Strain heterogeneity:** % determined from the number of multi-copy marker pairs which exceed a specified **amino acid identity threshold** (default = 90%).
  - High strain heterogeneity suggests the majority of reported contamination is from one or more closely related organisms (i.e. potentially the same species),
  - Low strain heterogeneity suggests the majority of contamination is from more phylogenetically diverse sources



## • CheckM: proposed genome quality classification scheme

- **Finished genomes:** genomes assembled into a single contiguous sequence containing no gaps or ambiguities and where extensive efforts have been made to identify errors
- **Noncontiguous finished:** genomes assembled into multiple sequences as a result of repetitive regions, but otherwise of a finished quality
- **Draft genomes:** all other genomes

**Table 3.** Controlled vocabulary of draft genome quality based on estimated genome completeness and contamination

Completeness	Classification	Contamination	Classification
≥90%	Near	≤5%	Low*
≥70% to 90%	Substantial	5% to ≤10%	Medium
≥50% to 70%	Moderate	10% to ≤15%	High
<50%	Partial	>15%	Very high

(\*) Genomes estimated to have 0% contamination can be designated as having “no detectable contamination”.

Donovan H. Parks et al. *Genome Res.* 2015;25:1043-1055

⚠ **Evolution of the thresholds with the evolution of sequencing technologies :**

- Those threshold are now more for **MAGs** (see Pasolli et al. 2019, Bowers et al., 2017 )
- For **isolates genome**, for instance , Refseq defines high quality as >98

# CheckM result interpretation limits

- CheckM is dedicated to eubacterial and archeal genomes
  - Eukaryotic or phage genomes will be reported as highly incomplete
  - The quality of plasmids must also be assessed independently of CheckM
- The novelty of a genome will also influence the accuracy of CheckM estimates
  - Estimates for bacterial and archaeal genomes from deep basal lineages with few reference genomes are generally based on domain-level marker sets
  - Quality estimates may be not reliable for genomes of novel lineages
  - Gene loss or duplication may be an issue

**Conclusion : use CheckM as a tool to detect outliers and further investigate!**



# Construction and analysis of prokaryotic genomic dataset

## Outline

- > Constructing a genome dataset
- > Analyzing the genome dataset
- > **Comparing and dereplicating the dataset**





# Comparing and dereplicating a genome dataset

Why?

## > To deal with

- The huge number of public genomes for some taxonomical groups including very similar or identical ones
  - Ex : E. coli, S. enterica
- The heterogeneous quality of sequencing and assembly of these data

## > To design a relevant comparative strategy adapted to the dataset



- **Back to genome diversity evaluation**

## Two main methods

- Alignment based approaches (ANI)
  - slow (need pairwise comparisons)
  - Robust to genome incompleteness
- k-mer based approaches (MASH)
  - Rapid (hash technics)
  - Not robust to genome incompleteness
  - Only provides an estimate of ANI
    - > Become very approximative for very divergent genomes

- **Comparing and dereplicating a genome dataset**

The dRep tool

> dRep is a python program which performs **rapid pairwise genome comparisons** using genomic distances

> it can be used for genome **dereplication**: identification of the 'same' genomes from a large set + determination of the highest quality genome in each replicate set

Very good documentation:

<https://drep.readthedocs.io/en/latest/>



# • Comparing and dereplicating a genome dataset

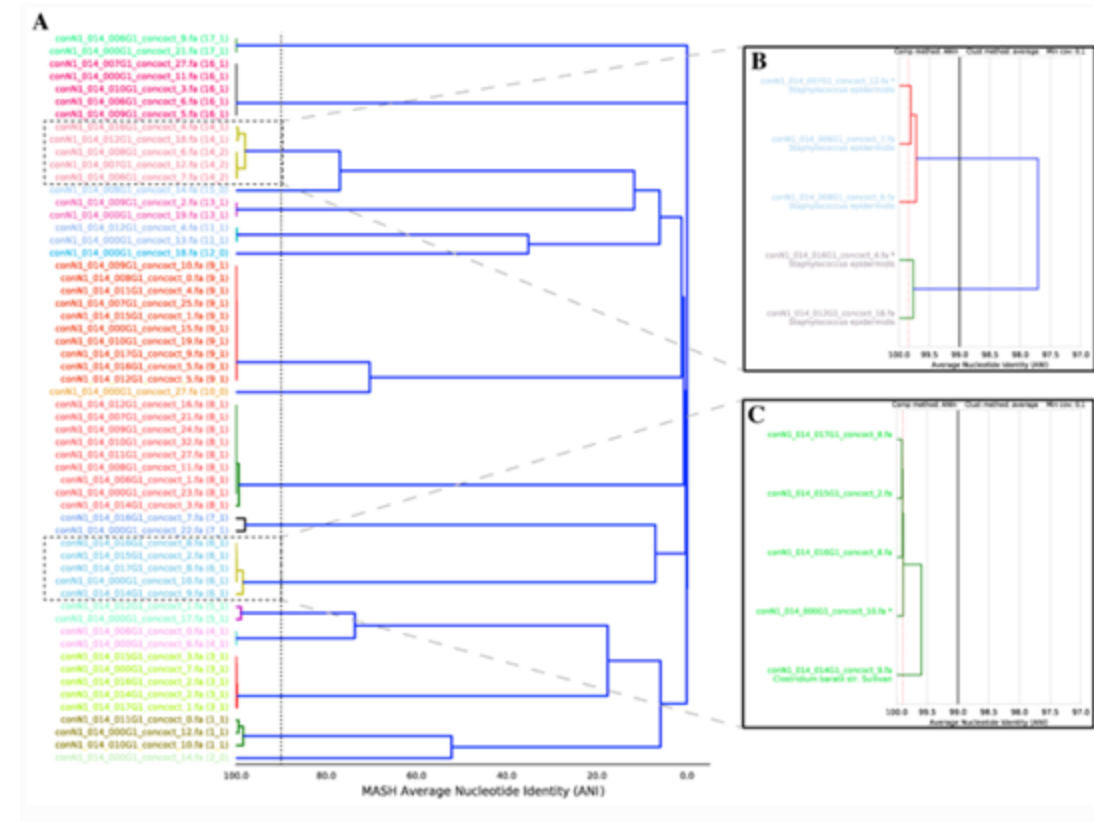
The dRep tool

dREP uses 2 main steps:

1. a first (rapid) clustering of genomes using MASH similarity (90% by default)
2. a second more sensitive step based on ANI on pairs of genomes that have at least a minimum level of "MASH" similarity (99% by default)

Very good documentation:

<https://drep.readthedocs.io/en/latest/>



- **dRep important concepts**

1. **dRep primary clustering use a greedy algorithm**, i.e. an algorithm that take shortcuts to run faster and generally produces "quasi-optimal" solutions. Genomes that are not on the same MASH primary clustering will never be compared with ANI
2. **Importance of genome completeness**: MASH is very sensitive to genome completeness. the more incomplete of genomes you allow into your genome list, the more you must decrease the primary cluster threshold.
3. **The secondary ANI threshold (default value: 99%, limit: 99.99%) indicates how similar genomes need to be to be considered the "same"**. Depending on the application, you may modify this parameter, i.e.: 95% ANI for species-level de- replication or 98% ANI to generate a set of genomes that are distinct when mapping short reads.
4. **A score is used to pick representative genomes takes into account several parameters such as Completeness, Contamination, strain heterogeneity and centrality** (a measure of how similar a genome is to all other genomes in it's cluster).



- **dRep commands and parameters**

**1. dRep compare:** compare and cluster a set of genomes using one or two clustering steps.

**2. dRep dereplicate:** compare, cluster and dereplicate a set of genomes. During dereplication the first step is identifying groups of similar genomes, and the second step is picking a Representative Genome (RG) for each cluster

Parameters of primary and secondary clustering may have to be adjusted depending on the diversity of the dataset and on the objective of the comparison/dereplication

Default values of dRep clustering parameters:

```
-pa P_ANI, --P_ani P_ANI
                        ANI threshold to form primary (MASH) clusters
                        (default: 0.9)
-sa S_ANI, --S_ani S_ANI
                        ANI threshold to form secondary clusters (default:
                        0.99)
```



## • dRep practice

use dREP-duplicate to explore the Salmonella genome dataset diversity and completeness and dereplicate the dataset

> input : 16 Salmonella genome fasta files

➤ Default parameters

➤ All outputs

explore and interpret results

The screenshot displays the Galaxy / Migale web interface. The main panel shows the 'dRep dereplicate' tool workflow. The 'genomes fasta files' input is a list of 16 Salmonella genome FASTA files. The tool parameters are set to default: 'set filtering options' is 'No (use --checkM\_method taxonomy\_wf)', 'set genome comparison options' is 'No', 'set clustering options' is 'No', 'set scoring options' is 'No', 'generate taxonomy information' is 'No', 'set warning options' is 'No', and 'Select outputs' is 'Select/Unselect all'. The 'Execute' button is visible. The right panel shows the 'History' tab with a list of datasets, including 'test\_in' and '60: Nucmer on data 39 and data 43: plot'. The bottom panel shows the 'dRep dereplicate' tool description: 'dRep performs rapid pair-wise comparison of genome sets. De-replication is the process of identifying sets of genomes that are the "same" in a list of genomes, and removing

- **dRep tools and result files**

dRep rely on several other programs:

1. **Mash**: to build the primary clusters
2. **Mummer**: to perform the ANI computation on pairwise genome alignements (used by default but **fastANI** or **gANI** may also be used)
3. **checkM** (Parks et al. 2015) to determine contamination and completeness of genomes
4. **Prodigal** (Hyatte et al. 2010): to predict genes (used by checkM and gANI)
5. **cipy** (Jones et al. 2001) to produce a final hierarchical clustering.

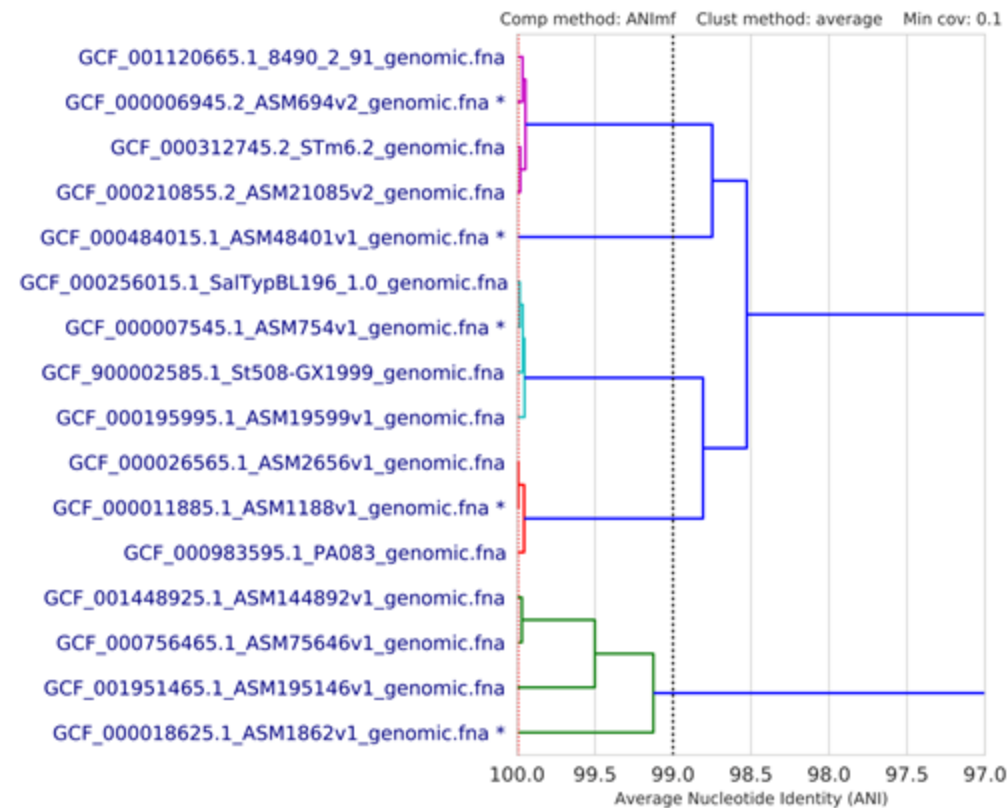
```
workDirectory
./data
...../checkM/
...../Clustering_files/
...../gANI_files/
...../MASH_files/
...../ANIn_files/
...../prodigal/
./data_tables
...../Bdb.csv # Sequence locations and filenames
...../Cdb.csv # Genomes and cluster designations
...../Chdb.csv # CheckM results for Bdb
...../Mdb.csv # Raw results of MASH comparisons
...../Ndb.csv # Raw results of ANIn comparisons
...../Sdb.csv # Scoring information
...../Wdb.csv # Winning genomes
...../Widb.csv # Winning genomes' checkM information
./dereplicated_genomes
./figures
./log
...../cluster_arguments.json
...../logger.log
...../warnings.txt
```

Output files of dRep



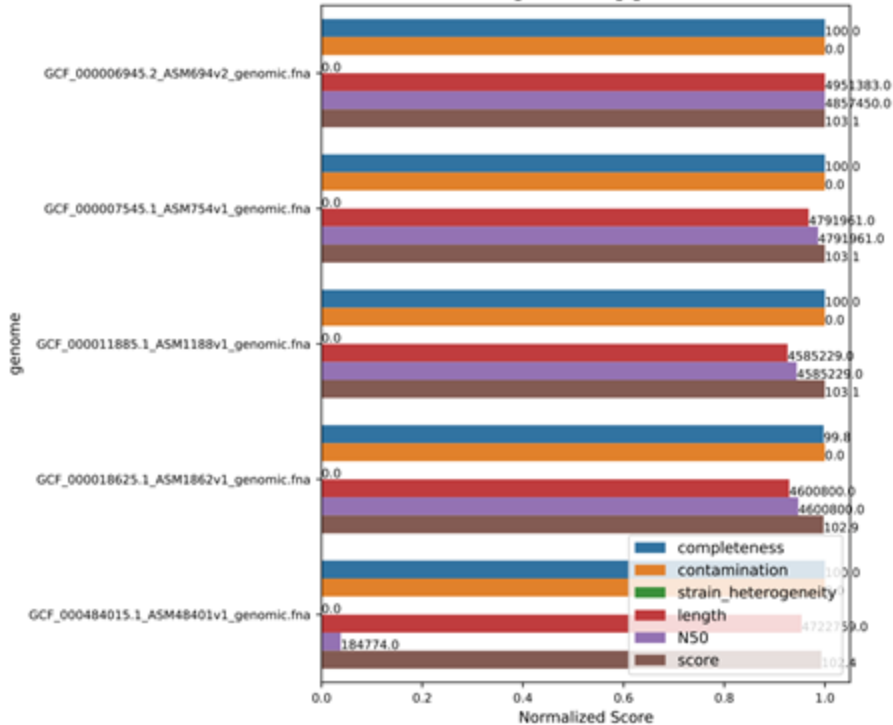
- dRep results interpretation

Primary cluster 1



Secondary\_clustering\_dendrograms.pdf

Scoring of winning genomes



Winning\_genomes.pdf



## •Next steps

- Linking Genomes with meta-data :
  - Meta-data extracted from « Genome » csv file
  - **Omnicrobe** : a database of habitats, phenotypes and uses of microorganisms. (Litterature , genbank, DSMZ, CIRM...)  
<https://omnicrobe.migale.inrae.fr>
- Pangenome analysis [Cf next talk]
  - **Roary**
    - ⚠ File format. GFF from Bakta OR GFF converted from Gbk with **Genbank to GFF3 converter** (Cf <https://sanger-pathogens.github.io/Roary/> )
  - **PPanGGOLiN** : Depicting microbial species diversity via a Partitioned PanGenome Graph Of Linked Neighbors